

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Date: September 10, 1999

Express Mail Mailing Label No. EI846266942US

Printed Name of Person Signing: Gerard P. Norton

Signature of Person Signing: Gerard P. Norton  
ROGERS & WELLS LLP

Docket No. 5616-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT OPERATION

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is PCT Request form, Fee  
Calculation Sheet and PCT Application for:

Applicants : Kohn, Leonard D., et a.

For : IMMUNE ACTIVATION BY DOUBLE-STRANDED POLYNUCLEOTIDES

- ☒ The Commissioner is hereby authorized to charge the required fee for the submission of the patent application, or any additional fees or credit any overpayments in connection with this application to Deposit Account No. 50-0521. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Gerard P. Norton  
Gerard P. Norton  
Reg. No. 36,621

**MAILING ADDRESS**  
ROGERS & WELLS LLP  
200 Park Avenue  
New York, NY 10166-0153  
(212) 878-3148

6741645  
\$

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date: September 10, 1998

Signature: Heard P Norton

ROGERS & WELLS LLP



Docket No.: 5616/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT OPERATION

In re Application of:

LEONARD D. KOHN ET AL

RECEIVED

SEP 1 / 1998

TECH CENTER 1600/2900

Serial No.: 09/151,612

Group Art Unit: 1643

Filed: September 11, 1998

Examiner: Not Yet Assigned

For: IMMUNE ACTIVATION BY DOUBLE-STRANDED POLYNUCLEOTIDES

New York, NY 10166-0153

Assistant Commissioner for Patents

Washington, DC 20231

S I R:

Transmitted herewith is ☒ a Preliminary Amendment

☐ an Amendment in the above-identified application.

☐ No additional fee is required.

☒ The fee has been calculated as shown below.

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims	73	- 46 #	= 27	x \$00.00	= 0.00
Independent Claims	12	- 6 #	= 6	x \$64.00	= 384.00

AMDTRANS.MER.DOT

NYB 1070832.1

First presentation of multiple independent claims

+

\$10.00

= 210 .00

Total Additional Fee for this Amendment:

594.00

- ☐ A check in the amount of \$ is enclosed.
- ☒ Please charge any additional fees for this Amendment or credit any overpayment to Deposit Account No.50-0521. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Herard R Norton

Reg. No. 36,621

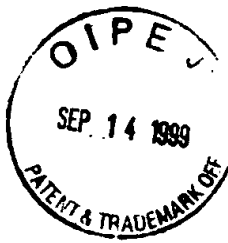
**MAILING ADDRESS**

ROGERS & WELLS LLP  
200 Park Avenue  
New York, NY 10166-0153  
(212) 878-3148

**RECEIVED**

SEP 17 1999

TECH CENTER 1600/2900



PRE AMST  
6/A  
Linda

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date: September 10, 1999

Printed Name of Person Signing Gerard P. Norton

Signature: Gerard P. Norton

ROGERS & WELLS LLP

Docket No. 5616-03

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : LEONARD D. KOHN et al  
Serial No. : 09/151,612  
Filed : September 11, 1998  
For : IMMUNE ACTIVATION BY DOUBLE-STRANDED  
POLYNUCLEOTIDES  
Examiner : Not Yet Assigned  
Group Art Unit : 1643

Assistant Commissioner for Patents  
Washington, D.C. 20231

#### PRELIMINARY AMENDMENT

Sirs:

Please amend the above-identified patent application as follows:

A. In The Claims

Please cancel claims 27 and 28 and replace them with new claims 74 and 75, respectively.

Please amend claims 7, 29 to 34, and 43 as follows:

1 SUB 7. (amended once) The method of claim 1 [6] wherein the double-stranded polynucleotide is DNA leaking from the cell's nucleus or mitochondria after injuring the cell with an exogenous or environmental stimulus.

27 SUB 29. (amended once) The method of claim 25 [28] wherein the cell is a mammalian cell.

09/16/1999 HLE111 00000041 500521 09151612

01 FC:102 468. CH

NYA 230943.1